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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of<br><br>Avi J. Ashkenazi et al.<br><br>Serial No.: 09/396,710<br><br>Filed: September 15, 1999<br><br>For: APO-2 RECEPTOR ANTIBODIES | Group Art Unit: 1646<br><br>Examiner: Kaufman, C.<br><br><b>CERTIFICATE OF HAND DELIVERY</b><br><br>I hereby certify that this correspondence is being hand delivered in an envelope addressed to : Assistant Commissioner of Patents, Washington, D.C. 20231 on <u>3/4/</u> , 2003<br><br><u>R. H. Mitchell</u> |
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TRANSMITTAL LETTER

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

1. Supplemental Information Disclosure Statement (dup);
2. Form PTO-1449 with 49 References;
3. Return postcard.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: March 3, 2003

By: Diane L. Marschang  
Diane L. Marschang  
Reg. No. 35,600  
Telephone No. (650) 225-5416



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PATENT TRADEMARK OFFICE  
#121747

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of<br>Avi J. Ashkenazi et al.<br>Serial No.: 09/396,710<br>Filed: September 15, 1999<br>For: APO-2 RECEPTOR ANTIBODIES | Group Art Unit: 1646<br>Examiner: C. Kaufman | RECEIVED<br>TECH INFORMATION 1600/2900<br>03 MAR -4 PM 1:23 |
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

☒ **37 CFR §1.97(c)**

• by the applicant after the period specified in 37 CFR §1.97(b), but prior to the mailing date of any of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by either the fee set forth in 37 CFR §1.17(p) or a statement as specified in 37 CFR §1.97(e), as checked below.

☒ The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR §1.17(p). Any deficiency or overpayment should be charged or credited to this deposit account.

A list of the patents or publications is set forth on the attached revised Form PTO-1449 (Modified). A copy of item numbers 1-27, 30-39, 47-58 on PTO-1449 is supplied herewith. Those patents or publications which are marked with an asterisk (\*) in the attached PTO-1449 form are not

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Filed: September 15, 1999

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supplied because they were previously cited by or submitted to the Office in prior parent application(s) relied upon in this application for an earlier filing date under 35 USC § 120.

A concise explanation of relevance of the items listed on PTO-1449 is:

- ☒ not given
- ☐ given for each listed item
- ☐ given for only non-English language listed item(s) [Required]
- ☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR § 1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR § 1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

In the event that the Office determines a fee to be due where none is specifically authorized in this paper, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR § 1.17(p).

Respectfully submitted,

GENENTECH, INC.

By: *Diane L. Marschang*  
Diane L. Marschang  
Reg. No. 35,600  
Telephone No. (650) 225-5416

Date: March 3, 2003



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